## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this AMENDMENT and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

APR 1 2 200

Many Malsich

4/2/02

Date of Deposit

Applicant: Barbas, et al.

Serial No.: 09/610,551

Filing Date: July 5, 2000

Title: METHODS FOR PRODUCING ANTIBODY

LIBRARIES USING UNIVERSAL OR

RANDOMIZED IMMUNOGLOBULIN

LIGHT CHAINS

Group Art Unit: 1644

Exam.: R. Schwadron

Our Ref.: TSRI 409.1 D2

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Communication mailed November 6, 2001, Applicants submit the present Amendment to bring the claim amendments previously submitted on August 27, 2001 into compliance with 37 CFR § 1.21(c)(1)(i). Enclosed herewith is a Petition for a Four Month Extension of Time and a check in the amount of \$1,440.00 to cover the cost of that Petition.

## **AMENDMENT**

## IN THE CLAIMS:

Please substitute the following claims 14, 24, 25, and 34 for the previously submitted claims 14, 24, 25 and 34.

14. (Amended) A method for producing an antibody combining site in a polypeptide comprising inducing mutagenesis in a



PTO/SB/21 (08-00)
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TRANSMITTAL FORM			Application Number		09/610,551				
			Filing Date		July 5, 2000	НО Н			
			First Named Inventor		Barbas	CENT			
TRADES used for all correspondence after initial filing)			Group Art Unit		1644	ER 1			
			Examiner Name		R. Schwadron	/009			
Total Number of Pages in This Submission			Attorney Docket N	Number	TSRI 409.1 D2				
ENCLOSURES (check all that apply)									
X Fee Attached Drawin  X Amendment / Reply Licensi  After Final Petition  Affidavits/declaration(s) Provision  X Extension of Time Request Power Change Address  Express Abandonment Request Request  Information Disclosure Statement CD, No.		Change Address Termina Request	Application)  g(s)  ng-related Papers  to Convert to a anal Application of Attorney, Revocation of Correspondence		to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter  Other Enclosure(s) (please identify below):  -Postcard				
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53			CANT ATTORNEY		OF NA				
	SIGNATURE O	F APPLI	CANT, ATTORNEY	Y, OR A	GENT				
	I IIIOMAS E. NOCLIFUD								
Signature Thomas E. Matthews									
Date April 2, 2002									
	CE	RTIFICA	TE OF MAILING	3					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:  4/02/02									
Typed or printed name Nancy Malsich									
Signature Manus M		Ma	lsich	Date	April 2, 2002				

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(\$) 1440.00

SUBTOTAL (3)

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known TRANSMITTAI 09/610,551 Application Number Filing Date for FY 2002 July 5 2000 First Named Inventor APR Barbas Examiner Name tent fees are subject to annual revision. Schwadron Group Art Unit 1644 m T OF PAYMENT TOTAL AMO (\$) Attorney Docket No. 44ቤ በ۵ <u>TSRI 409.1 D2</u> METHOD OF PAYMENT FEE CALCULATION (continued) The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES 1. 1 indicated fees and credit any overpayments to: Large Small Deposit Entity 19-0962 **Entity** Account Number Fee Paid **Fee Description** Code Code (\$) (\$) Deposit Account 105 130 205 65 Surcharge - late filing fee or oath Name 50 227 25 127 Surcharge - late provisional filing fee or Charge Any Additional Fee Required cover sheet Under 37 CFR 1,16 and 1,17 139 130 139 130 Non-English specification Applicant claims small entity status. See 37 CFR 1.27 147 2.520 147 2.520 For filing a request for ex parte reexamination V Payment Enclosed: 2. 920\* 112 9201 Requesting publication of SIR prior to Money Examiner action Check Credit card Other Order 113 1,840\* 113 1.840 Requesting publication of SIR after **FEE CALCULATION** Examiner action 110 215 55 Extension for reply within first month 1. BASIC FILING FEE 116 400 216 200 Extension for reply within second month Large Entity Small Entity Fee Description Fee Fee Fee Fee 920 217 460 Extension for reply within third month Code (\$) Code (\$) Fee Paid 1440.00 1.440 218 720 Extension for reply within fourth month 101 740 201 370 Utility filing fee 128 1.960 228 980 Extension for reply within fifth month 106 330 206 165 Design filing fee 119 320 219 160 Notice of Appeal 107 510 207 255 Plant filing fee 120 320 220 160 108 740 208 370 Reissue filing fee Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 160 80 Provisional filing fee 114 138 1,510 138 1,510 Petition to institute a public use proceeding SUBTOTAL (1) 140 110 240 55 Petition to revive - unavoidable 2. EXTRA CLAIM FEES 1.280 241 640 Petition to revive - unintentional Fee from Extra Claims Fee Paid 142 1,280 Utility issue fee (or reissue) 242 640 below Total Claims -20\*\* 143 460 243 230 Design issue fee Independent Claims - 3\*\* Plant issue fee 144 620 244 310 Multiple Dependent 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 126 180 126 180 Submission of Information Disclosure Stmt Fee Description Fee Code (\$) Code (\$) 581 40 581 40 Recording each patent assignment per property (times number of properties) 103 18 203 9 Claims in excess of 20 Filing a submission after final rejection (37 CFR § 1.129(a)) 102 84 202 42 Independent claims in excess of 3 146 740 246 370 104 280 204 140 Multiple dependent claim, if not paid For each additional invention to be examined (37 CFR § 1.129(b)) 149 740 249 370 Reissue independent claims 109 84 209 42 over original patent 179 740 279 370 Request for Continued Examination (RCE) \*\* Reissue claims in excess of 20 110 18 210 9 and over original patent 169 900 169 900 Request for expedited examination of a design application (\$) Other fee (specify) SUBTOTAL (2)

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Thomas E. Northrup	Registration No. (Attorney/Agent) 33,268	Telephone	(858)784-2937		
Signature	Thomas E. Master		Date	04/02/2002		

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

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